

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/886,868

06/21/2001

J. Richard Aylward

02103-413001

CONFIRMATION NO. 6086

FORMALITIES LETTER

OC000000006426123*

CHARLES HIEKEN Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804



Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Attorney's Docket No.: 02103-413001 / AABOS 83

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. Richard Aylward et al.

Art Unit: 2643

Serial No.: 09/886,868 Examiner: Unknown

Filed : June 21, 2001

Title : AUDIO SIGNAL PROCESSING

BOX MISSING PARTS

Hon. Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 15, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- x Check in payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- x Declaration by the Inventors and Power of Attorney by Assignee and Election of Assignee to Conduct Prosecution in compliance with 37 CFR §1.63; and
- x Other: Postcard to acknowledge receipt of these documents.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

OCT 15 200

Date of Deposit

Signature

Charles Hieken

Typed or Printed Name of Person Signing Certificate

Applicant: J. Richard Aylward et al.

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Date:

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Attorney's Docket No.: 02103-413001 / AABOSS37

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, Order No. 02103-413001.

Respectfully submitted,

FISH & RICHARDSON P.C.

OCT 1 3 2001

Charles Hieken Reg. No. 18,411

Attorneys for Application Owner

Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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